

SDRS – an algorithm for analyzing large scale dose response data

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Running Title: Dose response analysis

ABSTRACT

Summary:

Dose response information is critical to understanding drug effects, yet analytical methods for dose response assays cannot cope with the dimensionality of large scale screening data such as the microarray profiling data. To overcome this limitation, we developed and implemented the Sigmoidal Dose Response Search (SDRS) algorithm, a grid search based method designed to handle large scale dose response data. This method not only calculates the pharmacological parameters for every assay, but also provides built-in statistic that enables downstream systematic analyses, such as characterizing dose response at the transcriptome level.

Availability:

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Supplementary information: Supplementary data are available at *Bioinformatics* online.

1 INTRODUCTION

Dose response assays are routinely used in today's pharmaceutical development. Mechanistically, compound:target binding occurs at a single site and follows the law of mass action is reflected by the sigmoidal dose response pattern seen in many assays (Balakrishnan, 1991). In statistics, sigmoidal dose responses can be identified by nonlinear regression, a form of regression analysis where the model function is a nonlinear combination of the model parameters (Seber and Wild, 1989). Nonlinear regression methods such as the well known Levenberg-Marquardt algorithm involve successive approximations that aim at minimizing an error function (Marquardt, 1963). Despite the general applicability of the iterative nonlinear regression methods, there are a couple of limitations in their application to large scale dose response screening data. First, the iterative methods do not impose a boundary on the model parameter values, thus the output model may contain un-realistic or un-interpretable values such as a negative EC50. Second, these methods only calculate the parameter values and fitting statistic for the best model, but do not provide a means that can be integrated in downstream analyses such as the characterization of the transcriptome response (Ji *et al.*, 2009).

Ji *et al.* (2009) recently described a grid search based algorithm, Sigmoidal Dose Response Search (SDRS), for identifying transcripts that exhibited sigmoidal dose response to the treatments of kinase inhibitors. Since the SDRS algorithm is generic and can be expanded to identify other dose response patterns in different sources of quantitative data, we have implemented the method as a Perl module with C inline codes.

We demonstrated the general utility of the method using a dataset from high content screening (HCS).

2 METHOD AND IMPLEMENTATION

Our implementation of the SDRS algorithm includes a typical sigmoidal dose response model for one-site compound:target interaction,

$$Y = A + (B - A) / (1 + (X/C)^D)$$

where Y is the assay readout value, X is the dose, and the four unknown parameters correspond to minimal response (A), maximal response (B), EC50 (C), and the Hill slope (D).

In essence, the SDRS algorithm tests a series of candidate EC50 values (*i.e.* search doses) across the experimental dose range. Therefore at every search dose it is a three point grid search for the one-site model. For transcription profiling data, every probeset on the array is treated as an independent assay for the response of its corresponding transcript and its expression values at the experimental doses constitute the assay data.

We assume that every assay generates a positive readout. For every assay, the range for the parameter A is determined based on the six (default, or per user defined) lowest readout values, and is set to be the mean value plus or minus two multiples of the standard deviation. If the lower boundary is less than zero, it is re-set to the minimal of the readouts. The search step for A is one-fourth of the standard deviation. Similarly, the range for the parameter B is determined using the six (default, or per user defined)

highest values and the step is also one-fourth of the standard deviation. The parameter D can vary between -6.3 and 6.3, with a step of 0.3. (In reality, D can vary from $-\infty$ to $+\infty$.

However, when the absolute value of D is > 6 , additional increments have only marginal impact on the estimates of the other three parameters.) Placing data-driven limits on parameter values allows SDRS to exclude unusable parameters such as negative EC_{50} values.

At every search dose, the SDRS algorithm evaluates all possible combinations of parameter values and calculates the deviation of expected values based on the dose response model from the observational data. The goodness of fit is measured by an F -statistic: $F = MSR/MSE$ where MSR is the mean square of the variance explained by the model and MSE is the mean square of error (Supplementary Table 1). Assuming that the residuals are normally distributed, the F -statistic follows an F -distribution, $F(p-1, n-p)$, where n is the number of experimental dose points and p is the number of parameters in the model. For every assay, at every search dose tested, the (local) maximal F -statistic and the corresponding parameter values are recorded.

At the end of the grid search, every assay is associated with a series of F -statistic. An assay is designated as fitted to a dose response model if its global maximal F -statistic (i.e. best fit) is larger than a pre-defined critical F value, for example, at $P < 0.05$. For each assay, the parameter values that gave rise to the global maximal F -statistic define the optimal model. The 95% confidence interval for C (i.e. EC_{50}) is defined as from the lowest search dose where the local maximal F -statistic is larger than the critical value to

the highest search dose that meets the same criteria. Confidence intervals for other model parameters can be found similarly.

One output of SDRS is qualitatively similar to that of an iterative algorithm: each assay is associated with a predicted EC50, P value, and fold-change (*i.e.* the ratio of B to A).

However, SDRS also generates an F -statistic for every assay at each search dose. This output, which is unique to the grid search method, allows for a global characterization and comparison of dose responses (Ji *et al.*, 2009).

3 RESULTS AND DISCUSSION

Herein, we present the SDRS algorithm, which is implemented as a Perl module with C inline codes. We applied the algorithm to a dataset from HCS assays that measured programmed cell death using caspase 3, caspase 8, and cytochrome C as readouts in the ovarian cancer cell line, OVCAR-4. The SDRS outputs were compared to those generated by XLfit, software that implements the Levenberg-Marquardt algorithm (Table 1). XLfit identified nineteen dose responses in these assays. By contrast, SDRS identified three dose responses in addition to those identified by XLfit. The three additional dose responses identified by SDRS appear to be real (Supplementary Figure 1). There is a gradual increase in cytochrome C readouts as the Mitoxantrone concentration increases. In the case of ABT-263, it is likely that the compound also has a dose response since both the caspase 8 and cytochrome C assay produced high readouts at the highest dose. When both response plateaus are present, the parameter values generated by SDRS are almost identical to those generated by the iterative method (Table 1 and Supplementary Figure

2). However, when one of the curve plateaus is not present, *i.e.* where A or B are not well defined, the output is dependent on the behavior of the algorithm utilized. For example, when the high plateau is missing, extreme values for B and C are generated, with C often larger than the maximal experimental dose (Table 1 and Supplementary Figure 3). Similarly, when the low plateau is missing, iterative methods may generate negative estimates for A and C. Although there is no ‘right’ solution in these cases, as the data is not sufficient for parameter estimation, SDRS generates more ‘realistic’ estimates because it imposes constraints on the parameter values based on assay data and experimental dose range (Table 1).

Although SDRS was initially developed to handle genomic scale transcriptional dose response data, it can be used to analyze all other types of dose response data where it performs as efficient as iterative nonlinear regression methods. SDRS is robust to the naturally occurring variability in large scale screening data, where the assays are not necessarily “optimized”. Importantly, only SDRS provides a full set of F -statistic across the dose range that can be utilized in downstream system level analyses and comparisons.

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Conflict of Interest: none declared.

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TABLES

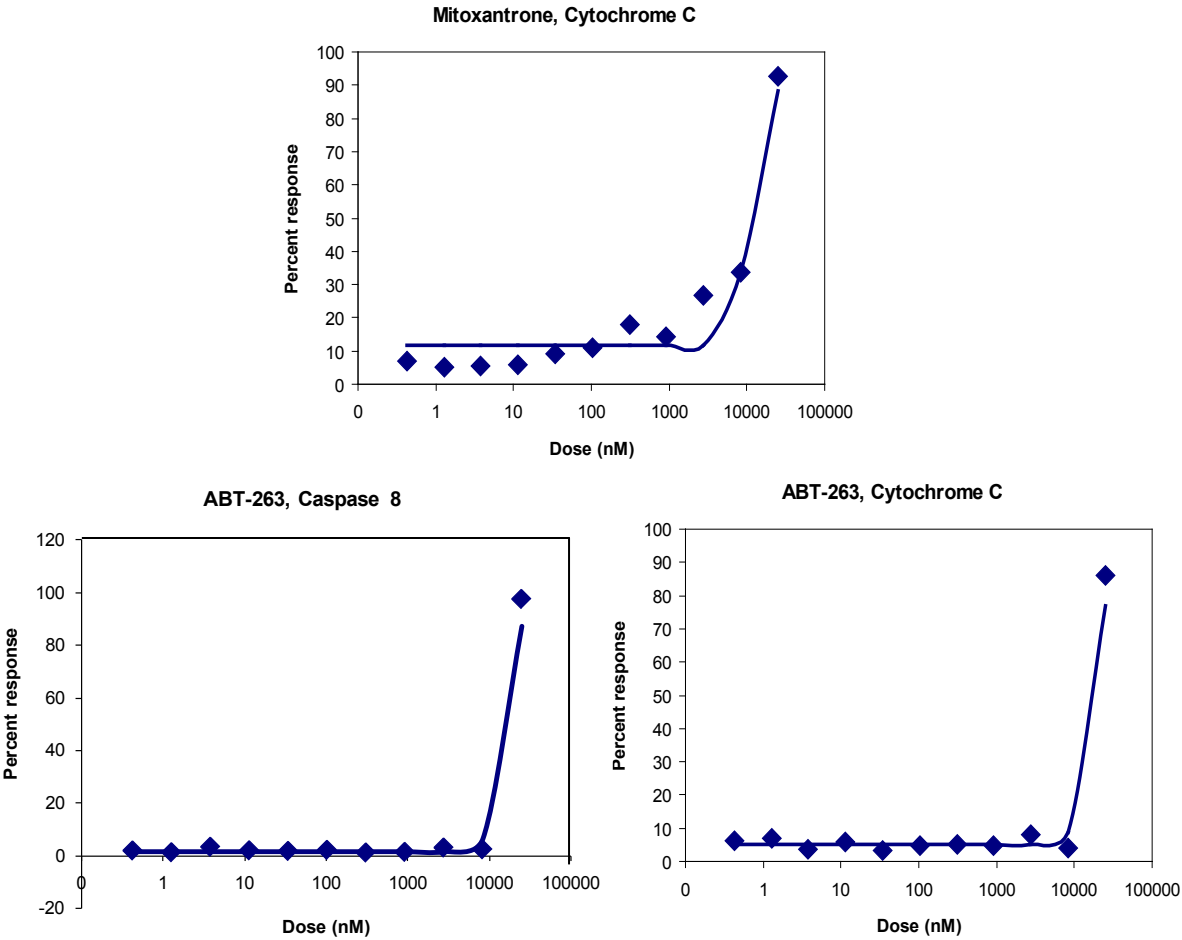
Table 1. Summary of SDRS and XLfit outputs.

Compound	Assay	SDRS output					XLfit output				
		P value	A	B	C (EC50, nM)	D	Fitted?	A	B	C (EC50, nM)	D
Mitoxantrone	Caspase 3	7.6E-08	4.4	92.6	9068.3	-6	#Ok!	3.7	113.6	11991.5	-2.2
Mitoxantrone	Caspase 8	5.3E-11	2.6	100.9	1528.3	-1.8	#Ok!	2.5	102.5	1570.5	-1.7
Mitoxantrone	Cytochrome C	1.7E-04	11.5	88.4	9688.3	-6	#NoFit!				
BMS-214662	Caspase 3	5.7E-03	1.8	3.2	1608.3	-1.5	#Ok!	1.8	3.2	1775.7	-1.6
BMS-214662	Caspase 8	8.1E-03	2.1	4.2	1588.3	-6	#Ok!	2.1	4.2	1515.9	-52.0
BMS-214662	Cytochrome C	5.2E-01					#NoFit!				
Geldanamycin	Caspase 3	9.2E-02					#NoFit!				
Geldanamycin	Caspase 8	5.7E-06	1.8	5.3	1568.3	-1.8	#Ok!	1.8	5.4	1628.1	-1.5
Geldanamycin	Cytochrome C	2.9E-05	6.7	31.0	5108.3	-1.2	#Ok!	6.5	40.1	10833.1	-0.9
ABT-263	Caspase 3	9.9E-07	1.7	47.4	13268.3	-6	#Ok!	1.9	756.0	44125.9	-4.7
ABT-263	Caspase 8	1.1E-06	1.7	89.4	13308.3	-6	#NoFit!				
ABT-263	Cytochrome C	2.7E-06	5.1	79.0	13608.3	-6	#NoFit!				
Cycloheximide	Caspase 3	5.3E-04	1.6	6.9	548.3	-6	#Ok!	1.6	6.5	371.4	-20.5
Cycloheximide	Caspase 8	1.3E-03	1.7	6.9	748.3	-3.3	#Ok!	1.6	7.2	847.0	-1.9
Cycloheximide	Cytochrome C	1.1E-07	3.8	67.6	1288.3	-1.5	#Ok!	4.1	66.4	1241.8	-1.7
Carboplatin	Caspase 3	6.6E-01					#NoFit!				
Carboplatin	Caspase 8	3.7E-01					#NoFit!				
Carboplatin	Cytochrome C	9.8E-02					#NoFit!				
Etoposide	Caspase 3	1.2E-02	2.2	5.2	5768.3	-1.5	#Ok!	2.2	7.3	17804.1	-0.8
Etoposide	Caspase 8	1.4E-02	1.8	4.7	3468.3	-1.2	#Ok!	1.8	5.8	8804.0	-0.9
Etoposide	Cytochrome C	7.4E-03	6.7	16.6	1028.3	-6	#Ok!	6.7	16.7	1001.0	-8.4
Gossypol	Caspase 3	2.8E-02	1.6	2.8	1108.3	-6	#Ok!	1.6	6.2	54354.5	-0.7
Gossypol	Caspase 8	8.7E-01					#NoFit!				
Gossypol	Cytochrome C	1.0E-05	7.3	56.8	10828.3	-6	#Ok!	6.8	4099.0	475017.8	-1.5
Daunorubicin	Caspase 3	2.3E-10	2.7	100.8	388.8	-2.4	#Ok!	3.1	99.3	390.5	-2.6
Daunorubicin	Caspase 8	3.8E-12	1.6	99.0	77.7	-3.6	#Ok!	1.7	99.6	76.6	-3.3
Daunorubicin	Cytochrome C	4.4E-08	8.2	94.9	1848.3	-2.1	#Ok!	8.2	97.9	1946.7	-1.9
Hydroxyurea	Caspase 3	6.2E-01					#NoFit!				
Hydroxyurea	Caspase 8	6.9E-01					#NoFit!				
Hydroxyurea	Cytochrome C	4.2E-04	8.7	27.0	9208.3	-6	#Ok!	8.6	39.2	18268.6	-1.6

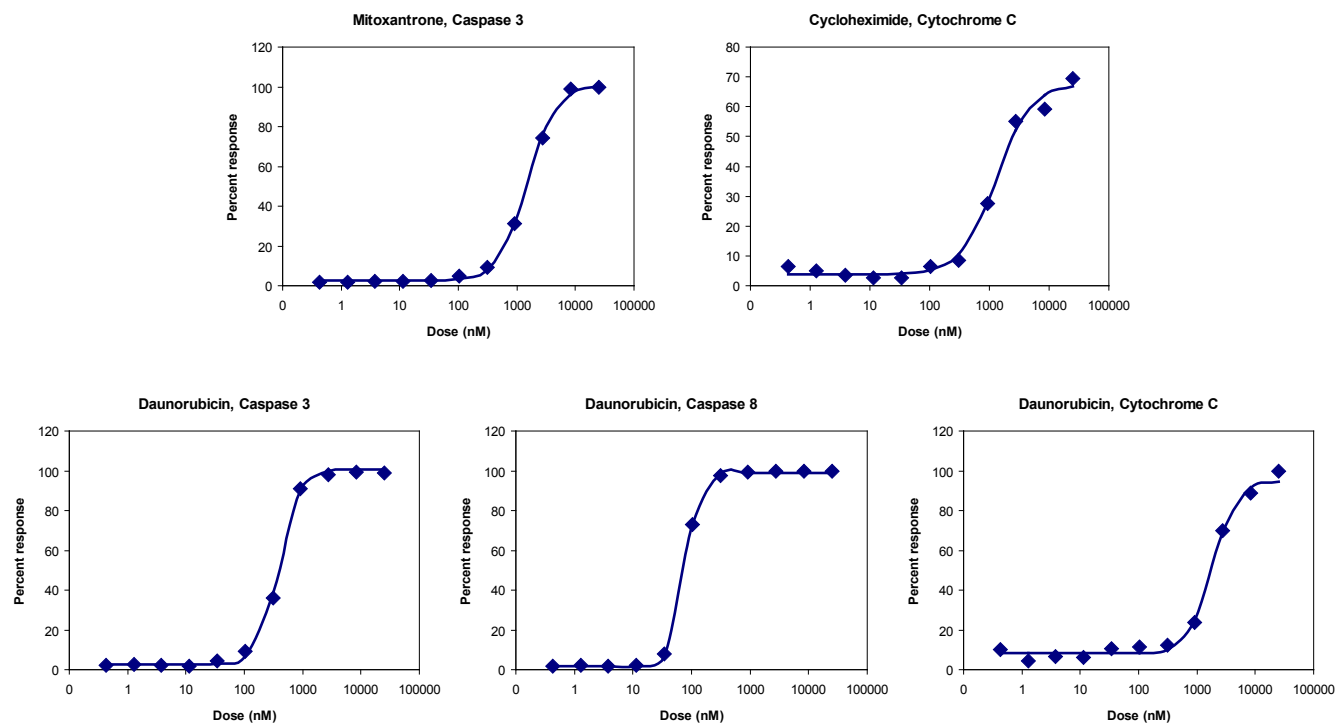
Supplementary Table 1. ANOVA table for F statistic calculation.

Source of variation	Sum of Squares	Degrees of freedom	Mean Square
Regression	$SSR = \sum (y_{\text{computed}} - y_{\text{average}})^2$	$p - 1$	$\frac{SSR}{(p-1)}$
Error	$SSE = \sum (y_{\text{observed}} - y_{\text{computed}})^2$	$n - p$	$\frac{SSE}{(n-p)}$
Total	$SST = \sum (y_{\text{observed}} - y_{\text{average}})^2$	$n - 1$	

Supplementary Figure 1. Dose responses identified by SDRS but not XLfit.



Supplementary Figure 2. Representative dose responses identified by both SDRS and XLfit.



Supplementary Figure 3. Dose responses where XLfit generated EC50 values larger than the highest experimental dose.

